# INSTRUCTIONS FŌR COMPLETING <br> DBPR ABT- 6001 <br> DIVISION OF ALCOHOLIC BEVERAGES AND TOBACCO APPLICATION FOR NEW ALCOHOLIC BEVERAGE LICENSE 

If you have any questions or need assistance in completing this application, please contact the Division of Alcoholic Beverages \& Tobacco's (AB\&T) local district office. Please submit your completed application and required fee(s) to your local district office. This application may be submitted by mail, through appointment, or it can be dropped off. A District Office Address and Contact Information Sheet can be found on AB\&T's web site at the link provided below:

Local ABT District Licensing Offices

## GENERAL INSTRUCTIONS

## Submitting Your Application

Applications for new alcoholic beverage licenses are filed with the Division of Alcoholic Beverages and Tobacco. Please complete all information. All questions must be answered fully and truthfully. You must provide an original application with original signatures. If you are required to submit any supporting documentation, such as the items listed below, a copy of the document is acceptable. Once submitted, your application cannot be returned to you. We will notify you in writing if your application has any errors or omissions and you will be given the opportunity to submit the corrected or required document.

Note: When applicable, you must submit a legible and executed copy of the following: Right of Occupancy, lease, or deed (must be in the name of the entity applying for the license), Franchise Agreement, Management Contract, Concession Agreement, and any agreement which requires a percentage payment from the business operation, Certified Copy of Death Certificate, Letters of Administration, Certificate of Title, Certified Copy of all Court Orders pertaining to the alcoholic beverage license.

If eligible, a temporary license may be purchased. Permanent and temporary license fees may be found at License Fee Chart \& Temporary License Fee Chart

## Contact Person

All communications regarding your application and invoices for payments of initial and renewal fees will be sent to the applicant/licensee at the mailing or email address provided. However, if you would like for us to communicate with someone other than the applicant regarding your application, please provide the name and contact information for that person in the "License Information" section. Your named contact person will be permitted to make changes to the application paperwork on your behalf (except Related Party Personal Information Sheet) and we will communicate directly with them regarding any application issues or deficiencies, and you will not be copied by the division with the correspondence. Once the application is approved, all invoices and any subsequent communications will be sent to the mailing address of the licensee.

## APPLICATION REQUIREMENTS AND INSTRUCTIONS FOR COMPLETING THIS APPLICATION

## License Types

Refer to the "Alcoholic Beverages and Tobacco" page on the Department of Business and Professional Regulation's Internet site for the License Type data chart. This is provided to guide applicants in knowing how each license type is defined in order to clarify which license type suits their needs.
Types of Licenses and Permits

## Zoning Approval

Zoning approval is executed by the city or county zoning authority in which the business to be licensed is located. Zoning approval is required on all new and change of location applications unless the applicant is a state college or university located on State owned property. Zoning approval may also be required for certain change or increase in series applications. Zoning approval is not required on new applications for 1APS licenses unless required pursuant to a Special Act for the county in which you are applying. This information can be found at Local Zoning Departments

## Department of Revenue Clearance

Department of Revenue clearance is required on applications for all new, transfer, change of location, and applications which change the licensee's name. The address for the office serving your area of interest can be found at Local ABT District Licensing Offices.

## Health Approval

Health approval is required on all applications for consumption on the premises. Businesses that serve food or are located on premises licensed by the Division of Hotels and Restaurants, must obtain approval from that division. Businesses that do not serve food must contact the County Health Authority or the Department of Health. Food service establishments located in grocery and convenience stores, bakeries or delicatessens must contact the Department of Agriculture and Consumer Services. The address for the office serving your area of interest can be found at Local ABT District Licensing Offices.

## Affidavit of Applicant

Read and sign in the presence of a notary. The affidavit must be signed by the individual applicant, each partner of a general partnership, a general partner of a general partnership of a limited partnership, a managing member, manager, or officer of a limited liability company, each partner of a limited liability partnership, or one of the officers of a corporate applicant.

## Fingerprints

Note: If you are a current licensee with the Florida Division of Alcoholic Beverages \& Tobacco you are not required to submit a new set of fingerprints with your application unless you have been arrested since your prior submission of fingerprints to the division. If you are not a current licensee but have been fingerprinted for this division in the past three (3) years, and you have not been arrested since that time, you are not required to submit new fingerprints unless the prior application was withdrawn or non-consummated. Applicants whose fingerprints are returned to the division as illegible will be required to submit a second set of fingerprints.

Fingerprints must be submitted by each sole proprietor; officers, directors, individual share holders owning more than $1 / 2$ of 1 percent of stock in non-public corporations; general partners of general partnerships; general partners of a limited partnership; officers, managing members or managers of a limited liability company; partners of a limited liability partnership, and persons directly interested and receiving financial proceeds from the business.

Applicants must use a Livescan vendor that has been approved by the Florida Department of Law Enforcement to submit their fingerprints to the department. Costs associated with the fingerprint process will be collected by the vendor. Vendor options and contact information can be viewed at Livescan Device Vendors List (Livescan Device Vendors List). Please ensure that the Originating Agency Identification (ORI) number for the Division of Alcoholic Beverages and Tobacco is provided to the vendor when you submit your fingerprints. The ORI number is FL920150Z. If you do not provide the ORI number, or if you provide an incorrect ORI number to the vendor, the Department of Business and Professional Regulation will not receive your fingerprint results.

## Out of State Alcoholic Beverage and Tobacco Applicants only:

Your fingerprint card can be obtained from the Department of Business and Professional Regulation by contacting the Division of Alcoholic Beverages and Tobacco at 850.488.8284, or one of the division's district offices. A listing of the district offices on the web can be found at

Local ABT District Licensing Offices

## OUT OF STATE LIVESCAN FINGERPRINTING REGISTRATION DIRECTIONS:

1. Go to the FDLE Livescan Device Vendors List and choose a Livescan vendor that is certified as "hard card scanning capable". These vendors have the ability to process fingerprints through additional methods, including the use of hard copy fingerprint cards. If the vendor requests that you provide a fingerprint card, you may call the Department of Business and Professional Regulation at 850.487 .1395 to obtain one. When requesting a card, please specify the profession for which you are seeking licensure.
2. If you are unable to obtain fingerprinting services through an FDLE approved "hard card scanning capable" vendor, please contact the Department of Business and Professional Regulation by calling 850.487.1395 to request the alternative procedure for fingerprint processing and fingerprint card. Each fingerprint card has a specific ORI code identifying the profession. When requesting a card, please specify the profession for which you are seeking licensure. Once the fingerprint card is received, you may then go to a local law enforcement office in your area to have your fingerprints rolled onto the card. Other information will be completed at the local law enforcement agency. For all programs, the completed card must be mailed to: FLDBPR, Florida

Fingerprinting Program, Prints Inc., 119 East Park Avenue, Tallahassee, FL 32301, where the fingerprint card will be scanned. Prior to mailing your fingerprint card, you must complete the steps listed at https://pearson.ibtfingerprint.com/ in order to register and make an advance payment of $\$ 50.00$ plus Florida Sales Tax. Do not send any money to Prints Inc. Out of State Alcoholic Beverage and Tobacco Applicants only: Your fingerprint card can be obtained from the Department of Business and Professional Regulation by contacting the Division of Alcoholic Beverages and Tobacco at 850.488 .8284 , or one of the division's district offices. A listing of the district offices can be found here.. Once the fingerprint card is received, you may then go to a local law enforcement officer in your area to have your fingerprints rolled onto the card. Information specific to the Division of Alcoholic Beverages and Tobacco will be preprinted on the fingerprint card. Other information will be completed at the local law enforcement agency. The instructions for submitting your fingerprint card are outlined above.

## Social Security Number

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless a Federal statute specifically requires it or allows states to collect the number. In this instance, disclosure of social security numbers is mandatory pursuant to Title 42 United States Code, Sections 653 and 654; and sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all professional and occupational license applications and are used for licensee identification pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L.193, Sec. 317. The State of Florida is authorized to collect the social security number of licensees pursuant to the Social Security Act, 42 U.S.C. 405(c)(2)(C)(I). This information is used to identify licensees for tax administration purposes, and the division will redact the information from any public records request.

## Directly/Indirectly Interested Person

A direct interest is created by a person or entity having an interest with the applicant in the business sought to be licensed and, includes but is not limited to:

1. an interest which is created by virtue of the interested party deriving revenue from the sale of alcoholic beverages;
2. a person or entity having the right to receive revenue based on a contractual relationship related to the control of the sale of alcoholic beverages, the terms of which, are contrary to 561.17, Florida Statutes, or 61A-3.017, Florida Administrative Code;
3. a person or entity who has a right to a percentage payment from the proceeds of the business pursuant to a lease;
4. a guarantor on a lease or loan;
5. a co-signer on a lease or loan.

An indirect interest includes, but is not limited to, any person or entity that derives revenue from the license solely through a contractual relationship with the licensee, the substance of which is not related to the control of the sale of alcoholic beverages, or is specifically exempt by statute or rule.
Note: Direct and indirect interests must be disclosed in the "DISCLOSURE OF INTERESTED PARTIES" section of the application.

## Registration of Legal Entity

All corporations, domestic or foreign; general partnerships; limited liability companies; limited liability partnerships; and limited partnerships are required to be registered with the Florida Department of State, Division of Corporations. If you have not already registered, you will need to contact the Department of State at (850) 488-9000 or www.sunbiz.org for further information. Your application will be considered incomplete without this active registration.

## Related Party Personal Information

This section of the application must be completed by each applicant or person(s) directly connected with the business, unless they are a current licensee. The signature of each person filling out this section of the application must be an original. This will include the sole proprietor, all partners, officers, directors, individual share holders owning more than $1 / 2$ of 1 percent of stock in non-public corporations, all partners of each general partnership, all general partners of a limited partnership, all managing members or managers of a limited liability company, partners of a limited liability partnership, and persons directly interested and receiving financial proceeds from the business. It is important that each individual discloses any arrests they have had within the past 15 years, even if they were charged, but not formally arrested, and regardless of the disposition.

## Copy of Arrest Disposition

If the applicant answers "yes" to any of the criminal background questions asked in this application, provide a copy of the Arrest Disposition to ensure the applicant is qualified, pursuant to Statute and Rule.
Applicable Statutes and Rule: 561.15 \& 561.17, Florida Statutes; and 61A-1.017, Florida Administrative Code.

## Moral Character

The applicant is required to meet the moral character standards to have an interest in an alcoholic beverage license. Any person failing to meet those standards shall be required to submit mitigation under the moral character rule in order for the division to determine if the person is qualified. A copy of the rule and requirements can be found at Moral Character.

## Federal Employer's Identification Number (FEIN)

All licensees who pay wages to one or more employees must have a Federal Employer's Identification Number. Contact the Internal Revenue Service (IRS) at 1-800-829-3676 and request Form \#SS4.

## Surety Bond

Surety bonds are required on all new applications for manufacturers, wholesale distributors of alcoholic beverages, wholesale distributors of cigarettes, and other tobacco products. A surety bond or a rider to the original bond must be submitted on any change of business name, change of location or change of ownership name application by the aforementioned. You may wish to have an auditor review your surety bond prior to submitting this application. Contact the division's Auditing Office serving your area of interest for further information. A list of the Auditing offices can be found at Audit District Offices

## Sketch of Premises

A complete sketch of the premises, drawn in ink or computer generated (letter size) which includes all permanent walls, doors, windows, counters, labeling each room and area. Include any outside areas where alcoholic beverages will be sold, consumed, or served. Due to the difficulty of scanning, no blueprints are accepted.

## APPLICATION CHECKLIST

| TRANSACTION | APPLICATION REQUIREMENTS |
| :---: | :---: |
| New License | - Complete DBPR ABT-6001 Division of Alcoholic Beverages and Tobacco Application for New Alcoholic Beverage License <br> - Pay $\$ 100$ or $1 / 4$ of the annual license fee, whichever is greater, if requesting a temporary license (make check payable to the Division of Alcoholic Beverages and Tobacco) <br> - Submit Fingerprint receipt, if applicable <br> - Submit a copy of Arrest Disposition, if applicable <br> - Submit Mitigation for Moral Character, if applicable <br> - Manufacturers and wholesale distributors of alcoholic beverages must complete and submit the DBPR ABT-6032 Surety Bond form <br> - Submit Right of Occupancy |
| Application may also include | - New Retail Tobacco Products Dealer Permit |

# DBPR ABT-6001 - Division of Alcoholic Beverages and Tobacco Application for New Alcoholic Beverage License <br> STATE OF FLORIDA <br> DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION 

DBPR Form
ABT-6001
Revised 08/2013

If you have any questions or need assistance in completing this application, please contact the Division of Alcoholic Beverages \& Tobacco's (AB\&T) local district office. Please submit your completed application and required fee(s) to your local district office. This application may be submitted by mail, through appointment, or it can be dropped off. A District Office Address and Contact Information Sheet can be found on AB\&T's web site at the link provided below:

## Local ABT District Licensing Offices



## SECTION 3 - RELATED PARTY PERSONAL INFORMATION

This section must be completed for each person directly connected with the business, unless they are a current licensee.

1. Business Name (D/B/A)
2. Full Name of Individual

| Social Security Number* |  | Home Telephone Number |  | Date of Birth |  |
| :--- | :--- | :--- | :--- | :--- | :--- |
| Race | Sex | Height | Weight | Eye Color | Hair Color |

3. Are you a U.S. citizen?
$\square$ Yes $\square$ No
If no, immigration card number or passport number:
4. Home Address (Street and Number)

| City | State | Zip Code |
| :--- | :--- | :--- |

5. Do you currently own or have an interest in any business selling alcoholic beverages, wholesale cigarette or tobacco products, or a bottle club?

$\square Y$YesNo If yes, provide the information requested below. The location address should include the city and state. Business Name (D/B/A)

## Location Address

6. Have you had any type of alcoholic beverage, or bottle club license, or cigarette, or tobacco permit refused, revoked or suspended anywhere in the past 15 years?

YesNo
If yes, provide the information requested below. The location address should include the city and state. Business Name (D/B/A)

Location Address
7. Have you been convicted of a felony within the past 15 years? $\square$ Yes $\square$ No If yes, provide the information requested below and provide a Copy of the Arrest Disposition, as requested in the Application Requirements checklist.

| Date |
| :--- |
| Type of Offense |

8. Have you been convicted of an offense involving alcoholic beverages or tobacco products anywhere within the past 5 years? $\square$ YesNo If yes, provide the information requested below and provide a Copy of the Arrest Disposition, as requested in the Application Requirements checklist.
Date
Type of Offense
9. Have you been arrested or issued a notice to appear in any state of the United States or its territories within the past 15 years? $\square$ Yes $\square$ No
If yes, provide the information requested below and a Copy of the Arrest Disposition.
Attach additional sheet if necessary.
Date
Location
Type of Offense
10. Do you meet the standards of the moral character rule?
$\square$ Yes $\square$ No
11. Are you an officer or employee of the Division of Alcoholic Beverages and Tobacco; are you a sheriff or other state, county, or municipal officer, including reserve or auxiliary officers, certified by the state as such, with arrest powers, whose certification is current and active?Yes $\square$ No

## NOTARIZATION STATEMENT

"I swear under oath or affirmation under penalty of perjury as provided for in Sections 559.791, 562.45 and 837.06, Florida Statutes, that I have fully disclosed any and all parties financially and or contractually interested in this business and that the parties are disclosed in the Disclosure of Interested Parties of this application. I further swear or affirm that the foregoing information is true and correct."

STATE OF

## COUNTY OF

## APPLICANT SIGNATURE

The foregoing was ( ) Sworn to and Subscribed OR ( ) Acknowledged Before me this $\qquad$ Day
of $\qquad$ , 20 $\qquad$ By $\qquad$ who is () personally (print name of person making statement)
known to me OR ( ) who produced $\qquad$ as identification.

## Notary Public

## (ATTACH ADDITIONAL COPIES AS NECESSARY)

## *Social Security Number

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless a Federal statute specifically requires it or allows states to collect the number. In this instance, disclosure of social security numbers is mandatory pursuant to Title 42 United States Code, Sections 653 and 654; and sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all professional and occupational license applications and are used for licensee identification pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L.193, Sec. 317. The State of Florida is authorized to collect the social security number of licensees pursuant to the Social Security Act, 42 U.S.C. 405(c)(2)(C)(I). This information is used to identify licensees for tax administration purposes. This information is used to identify licensees for tax administration purposes, and the division will redact the information from any public records request.

# SECTION 4 - DESCRIPTION OF PREMISES TO BE LICENSED 

 TO BE COMPLETED BY THE APPLICANTBusiness Name (D/B/A)

| 1. | Yes $\square$ | No $\square$ | Is the proposed premises movable or able to be moved? |
| :--- | :--- | :--- | :--- |
| 2. | Yes $\square$ | No $\square$ | Is there any access through the premises to any area over which you do not have <br> dominion and control? |
| 3. | Yes $\square$ | No $\square$ | Is the business located within a Specialty Center? If yes, check the applicable statute: <br> $\square 561.20(2)(b) 1$, F.S. or $\square 561.20(2)(b) 2$, F.S. |
| 4. | Yes $\square$ | No $\square$ | Are there any mobile vehicles used to sell or serve alcoholic beverages? |
| 5. | Yes $\square$ | No $\square$ | Are there more than 3 separate rooms or enclosures with permanent bars or <br> counters? |

Neatly draw a floor plan of the premises in ink, including sidewalks and other outside areas which are contiguous to the premises, walls, doors, counters, sales areas, storage areas, restrooms, bar locations and any other specific areas which are part of the premises sought to be licensed. A multi-story building where the entire building is to be licensed must show the details of each floor.

Full Name of Applicant: (This is the name the license will be issued in)
Business Name (D/B/A)
Street Address

| City | County | State <br> FL | Zip Code |
| :--- | :--- | :--- | :---: |

## ZONING

TO BE COMPLETED BY THE ZONING AUTHORITY GOVERNING YOUR BUSINESS LOCATION
A. The location complies with zoning requirements for the sale of alcoholic beverages or wholesale tobacco products pursuant to this application for a Series: $\qquad$ Type: $\qquad$ license.
B. This approval includes outside areas which are contiguous to the premises which are to be part of the premises sought to be licensed and are identified on the sketch?" $\square$ Yes $\square$ No

Check either: Please do not skip, this is important for license fee sharing
$\square$ Location is within the city limits or $\square$ Location is in the unincorporated county
Signed $\qquad$ Date $\qquad$
Title $\qquad$ This approval is valid for $\qquad$ days.

## SALES TAX <br> TO BE COMPLETED BY THE DEPARTMENT OF REVENUE

The named applicant for a license/permit has complied with the Florida Statutes concerning registration for Sales and Use Tax.

1. This is to verify that the current owner as named in this application has filed all returns and that all outstanding billings and returns appear to have been paid through the period ending $\qquad$ or the liability has been acknowledged and agreed to be paid by the applicant. This verification does not constitute a certificate as contained in Section 213.758 (4), F.S. (Not applicable if no transfer involved).
2. Furthermore, the named applicant for an Alcoholic Beverage License has complied with Florida Statutes concerning registration for Sales and Use Tax, and has paid any applicable taxes due.

Signed $\qquad$ Date

Title $\qquad$ Department of Revenue Stamp
This approval is valid for $\qquad$ days.

## HEALTH

## TO BE COMPLETED BY THE DIVISION OF HOTELS AND RESTAURANTS OR COUNTY HEALTH AUTHORITY <br> OR DEPARTMENT OF HEALTH OR DEPARTMENT OF AGRICULTURE \& CONSUMER SERVICES

The above establishment complies with the requirements of the Florida Sanitary Code.
Signed $\qquad$ Date
Title $\qquad$ Agency

This approval is valid for $\qquad$ days.

Business Name (D/B/A)
Has the applicant entity been convicted of a felony in this state, any other state, or by the United States in the last 15 years?

Yes $\square$ No
If the answer is "Yes," please list all details including the date of conviction, the crime for which the entity was convicted, and the city, county, state and court where the conviction took place.
(Attach additional sheets if necessary)

## SECTION 7 - SPECIAL LICENSE REQUIREMENTS (DOES NOT APPLY TO BEER AND WINE LICENSES)

Please check the appropriate box of the license for which you are applying. Fill in the corresponding requirements for the license type sought.

Quota Alcoholic Beverage LicenseSpecialty Alcoholic Beverage License (e.g. SRX, S, etc)
Club Alcoholic Beverage License
This license is issued pursuant to $\qquad$ Florida Statutes or Special Act, and as such we acknowledge the following requirements must be met and maintained:

Please initial and date:
Applicant's Initials $\qquad$ Date

Note: Failure to disclose an interest, direct or indirect, could result in denial, suspension and/or revocation of your license. You MUST list all persons and entities in the entire ownership structure. To determine which of those persons must submit fingerprints and a Related Party Personal Information, sheet, see the fingerprint section in the application instructions.
Business Name (D/B/A)

1. When applicable, complete the appropriate section below. Attach extra sheets if necessary.



#### Abstract

SECTION 9 - AFFIDAVIT OF APPLICANT NOTARIZATION REQUIRED Business Name (D/B/A) "I, the undersigned individually, or on behalf of a legal entity, hereby swear or affirm that I am duly authorized to make the above and foregoing application and, as such, I hereby swear or affirm that the attached sketch is a true and correct representation of the entire area and premises to be licensed and agree that the place of business, if licensed, may be inspected and searched during business hours or at any time business is being conducted on the premises without a search warrant by officers of the Division of Alcoholic Beverages and Tobacco, the Sheriff, his Deputies, and Police Officers for the purposes of determining compliance with the beverage and retail tobacco laws." "I swear under oath or affirmation under penalty of perjury as provided for in Sections 559.791, 562.45 and 837.06, Florida Statutes, that the foregoing information is true and that no other person or entity except as indicated herein has an interest in the alcoholic beverage license and/or tobacco permit, and all of the above listed persons or entities meet the qualifications necessary to hold an interest in the alcoholic beverage license and/or tobacco permit."


STATE OF $\qquad$

COUNTY OF $\qquad$

APPLICANT/AUTHORIZED REPRESENTATIVE NAME

APPLICANT /AUTHORIZED REPRESENTATIVE SIGNATURE
The foregoing was ( ) Sworn to and Subscribed OR ( ) Acknowledged Before me this $\qquad$ Day
of $\qquad$ , 20 $\qquad$ , By $\qquad$ who is ( ) personally (print name(s) of person(s) making statement)
known to me OR ( ) who produced $\qquad$ as identification.
$\qquad$

This section is to be completed for all current alcoholic beverage and/or tobacco license holders listed on the application to ensure the most up to date information is captured.
Business Name (D/B/A)

| Last Name | First |  | M.I. |
| :---: | :---: | :---: | :---: |
| Current Alcohol Beverage and/or Tobacco License Permit/Number(s) |  |  |  |
| Date of Birth |  | Social Security Number* |  |
| Street Address |  |  |  |
| City |  | State | Zip Code |
| Last Name | First |  | M.I. |
| Current Alcohol Beverage and/or Tobacco License Permit/Number(s) |  |  |  |
| Date of Birth |  | Social Security Number* |  |
| Street Address |  |  |  |
| City |  | State | Zip Code |
| Last Name | First |  | M.I. |
| Current Alcohol Beverage and/or Tobacco License Permit/Number(s) |  |  |  |
| Date of Birth |  | Social Security Number* |  |
| Street Address |  |  |  |
| City |  | State | Zip Code |
| Last Name First |  |  | M.I. |
| Current Alcohol Beverage and/or Tobacco License Permit/Number(s) |  |  |  |
| Date of Birth |  | Social Security Number* |  |
| Street Address |  |  |  |
| City |  | State | Zip Code |
| Last Name First |  |  | M.I. |
| Current Alcohol Beverage and/or Tobacco License Permit/Number(s) |  |  |  |
| Date of Birth |  | Social Security Number* |  |
| Street Address |  |  |  |
| City |  | State | Zip Code |

